

*Warren Capital Group
Privacy Statement
Effective March 2018*

WHAT DOES WARREN CAPITAL GROUP (“WCG”) DO WITH YOUR PERSONAL INFORMATION?		
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	The types of personal information we collect and share depend on the product or service you have with us. This information may include your Social Security Number, account information, date of birth, wire transfer instructions, addresses, telephone and email, personal financial information including income and net worth.	
HOW?	All financial companies need to share customers’ and participants’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ or participants’ personal information; the reasons WCG chooses to share; and whether you can limit this sharing.	
REASONS WE ARE PERMITTED TO SHARE YOUR INFORMATION		
Purpose	Does WCG share?	Can you limit this sharing?
For our everyday business purposes Such as to process your transactions, maintain your capital account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes To offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	N/A
For non-affiliates to market to you	NO	N/A
WHAT WE DO WITH YOUR INFORMATION		
How does WCG collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Make deposits or withdrawals from your account • Tell us about your investment objectives, risk tolerance <ul style="list-style-type: none"> • Provide account information • Give us your contact details • Make a wire transfer 	
How does WCG protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.	
QUESTIONS?	Contact our Chief Compliance Officer at 888-262-1040	